

FinDreams Battery

Responsible Mineral Resources Supply Chain Grievance Mechanism

1. Purpose

FinDreams Battery aims to establish an effective communication platform for stakeholders in responsible mineral supply chains to report and address supply chain-related issues, complaints, and untoward behaviour. The goal is to ensure compliance, sustainability, and credibility of the supply chain while protecting the rights and interests of stakeholders, and to drive the entire supply chain towards greater sustainability and responsibility.

2. Applicable

This policy applies to internal and external stakeholders, directly or potentially connected to FinDreams Battery, including but not limited to:

- (1) Public sector representatives, including government departments, regulatory bodies, social organizations, labor organizations, etc.
- (2) Stakeholders, including consumers, customers, suppliers, partners, shareholders, investors, employees, community residents, etc.

3. Complaint Handling Body

Due Diligence Management Committee: Designated a specialist responsible for handling complaints received through the public email, conducting complaint procedures, ensuring protection of the identities of affected individuals or complainants, providing transparent responses to interested parties regarding the complaints, and maintaining records of the process and outcomes.

4. Complaint Channels

Email: ESGinfo@fdbatt.com

5. Acceptance Criteria

Complaints will be accepted if:

- (1) FinDreams Battery's business activities or relationships have caused or may cause negative impact on stakeholders.
- (2) FinDreams Battery's business activities or relationships violate the requirements set forth in the "Chinese Responsible Mineral Supply Chain Due Diligence Guidelines" published by the China Chamber of Commerce of Metals, Minerals & Chemicals Importers & Exporters (CCCME), the "OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas," and FinDreams Battery's Responsible Mineral Supply Chain Management Policy.
- (3) FinDreams Battery reserves the right to reject complaints if they fall under the following conditions: (a)

malicious complaints (b) lack of strong evidence to support the allegations in the complaint (c) complaints outside the scope of this complaint mechanism, in which case assistance or coordination with external organizations may be provided.

6. Complaint Process

(1) Receipt of Complaint Information

Periodically check the email, save the information and supporting materials sent by complainants.

(2) Verification of Complaint Information

Confirm whether the complaint meets the acceptance criteria and verify the veracity of the allegations.

(3) Acceptance of Complaint

If the complaint meets the acceptance criteria, inform the complainant of the acceptance and processing timeframe within 2 working days of receiving the complaint. If the complaint is deemed ineligible, provide clear reasons for rejection and notify the complainant within 2 working days of receipt.

(4) Complaint Investigation and Feedback of Results

After accepting the complaint, initiate an investigation process, including interpreting the complaint, identifying relevant stakeholders, assigning responsibility to departments, developing an investigation plan, conducting the investigation, and completing an investigative report. Communicate the investigation findings to the complainant.

(5) Corrective Action and Follow-up After completing the complaint investigation, issue corrective action requirements to the organization subject to complaint, set a deadline for developing effective solutions, monitor the progress of improvement, and include it in FinDreams Battery's Responsible Supply Chain Due Diligence Report.

7. Protection of Complainants

(1) All stages of accepting, registering, investigating, and storing complaints must be treated with strict confidentiality to prevent leakage or loss.

(2) Individuals found to violate confidentiality regulations will be subject to appropriate disciplinary measures according to company policies. If their actions constitute a criminal offense, they will be held legally accountable.

(3) FinDreams Battery strictly prohibits any form of retaliation against complainants and individuals providing valid information. Violators will face appropriate disciplinary measures according to company policies, while those involved in illegal activities may be subject to legal consequences. If complainants or individuals providing valid information face any form or degree of retaliation, they should promptly report it to the Due Diligence Management Committee.

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FinDreams Battery Co., Ltd.

